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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

CATHERINE L. COONTZ,
vs.
ASTORA WOMEN'S HEALTH,
LLC, and AMERICAN MEDICAL
SYSTEMS, INC.,
Defendants.

Case No.: 1:20-CV-01379-NONE-JLT

DISCOVERY MATTER

**[PROPOSED] CONFIDENTIALITY AND
PROTECTIVE ORDER**

(Doc. 15)

18
19 WHEREAS Plaintiff Catherine L. Coontz ("Plaintiff"), and Defendant Astora Women's
20 Health, LLC ("Defendant") jointly believe that entry of a protective order pursuant to Federal Rule
21 of Civil Procedure 26(c) should be applied to this matter;

22 WHEREAS, a protective order will expedite the flow of discovery material, facilitate the
23 prompt resolution of disputes over confidentiality, and adequately protect confidential material;

24 NOW, THEREFORE, having found good cause, it is hereby ORDERED THAT:

25 **I. Scope of Order**

26 Disclosure and discovery in this proceeding may involve production of information and/or
27 materials which are claimed to include confidential, proprietary, and private information for which
28 special protection from public disclosure and from any purpose other than prosecuting this litigation

1 may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter their
2 Stipulated Confidentiality and Protective Order (the “Order”) in this matter. This Order applies
3 equally to CONFIDENTIAL and HIGHLY CONFIDENTIAL material (as defined below)
4 produced/provided and designated as such in this action.

5 **II. Good Cause Statement**

6 This action is likely to involve trade secrets, commercial, financial, and/or proprietary
7 information for which special protection from public disclosure and from use for any purpose other
8 than prosecution of this action is warranted. Such confidential and proprietary materials and
9 information consist of, among other things, confidential business or financial information,
10 information regarding confidential business practices, or other confidential research, development,
11 or commercial information (including information implicating privacy rights of third parties),
12 information otherwise generally unavailable to the public, or which may be privileged or otherwise
13 protected from disclosure under state or federal statutes, court rules, case decisions, or common law.
14 Accordingly, to expedite the flow of information, to facilitate the prompt resolution of disputes over
15 confidentiality of discovery materials, to adequately protect information the parties are entitled to
16 keep confidential, to ensure that the parties are permitted reasonable necessary uses of such material
17 in preparation for and in the conduct of trial, to address their handling at the end of the litigation, and
18 serve the ends of justice, a protective order for such information is justified in this matter. It is the
19 intent of the parties that information will not be designated as confidential for tactical reasons and
20 that nothing be so designated without a good faith belief that it has been maintained in a confidential,
21 non-public manner, and there is good cause why it should not be part of the public record of this
22 case.

23 **III. The Order**

24 **A. Stipulation**

25 1. By stipulating to the Order, the parties have agreed to be bound by its terms and to
26 request its entry by the Court.

27 **B. Discovery Phase**

28 1. For purposes of this Order, the following definitions shall apply: (a) the term

1 “document” and “electronically stored information” shall have the full meanings ascribed to each by
2 the Federal Rules of Civil Procedure and the United States District Court for the Eastern District of
3 California Local Rules, and relevant case law; and (b) the term “producing party” shall be defined as
4 any party or non-party who is required to produce or provide materials or testimony containing
5 confidential information.

6 2. A producing party may designate as “CONFIDENTIAL” any material the producing
7 party believes in good faith constitutes or discloses information that qualifies for protection,
8 including but not limited to, deposition and trial testimony/transcripts and exhibits thereto, and
9 specifically information that is trade secret or other confidential research, development, or
10 commercial information, and materials that are deemed confidential under Federal Drug
11 Administration (“FDA”) regulations and Health Insurance Portability and Accountability Act
12 (“HIPAA”) statutes and/or regulations.

13 3. Confidential information may be further designated as “**HIGHLY**
14 **CONFIDENTIAL**” if a Defendant produces materials, including but not limited to, deposition and
15 trial testimony/transcripts and exhibits thereto, that it believes in good faith would, if disclosed,
16 cause substantial economic harm to the competitive position of the entity from which the
17 information was obtained because it is **HIGHLY CONFIDENTIAL** research and development
18 material on a new product that has not been approved or cleared by the FDA or a similar regulatory
19 body or reflects a party’s price competitiveness in the market or marketing business strategies of a
20 party concerning a current or new product. Counsel will inform the producing party of its intent to
21 disclose such information to any individual who is currently, or who at any time during the pendency
22 of this litigation becomes, a consultant to a competitor of the producing party in the pelvic organ
23 mesh business, or is a consultant to an entity actively investigating entering such business, and
24 thereafter will follow the procedures for disclosure of such materials to such individual as provided
25 in Paragraph III.B.8 of this Order.

26 4. Challenges to Designations or Redacted Information: Any party may at any time that
27 is consistent with the District Judge’s scheduling orders challenge the redaction or the designation of
28 information as **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** by providing written notice of

1 its objection to the designating party, or, in the case of a deposition, either on the record at a
2 deposition or in writing later. Thereafter, counsel for the objecting party must arrange for a meet
3 and confer conference consistent with Local Rule 251(b). If, after the meet-and-confer process
4 required by Local Rule 251(b), the parties cannot reach an agreement, the party claiming the
5 designation of confidentiality or redaction may apply for an appropriate ruling from the Court by
6 following Local Rule 251(c) procedure for Moving Papers, including the filing of a Joint Stipulation,
7 and noticing the hearing of the motion in accordance with Local Rule 251(a). The disputed material
8 shall continue to be treated as designated, or redacted, until the Court orders otherwise. In any such
9 application concerning a ruling on confidentiality or redacted information, the party claiming the
10 designation of confidentiality or redaction has the burden of establishing that such confidential
11 designation or redaction is proper.

12 5. No person or party subject to this Order shall distribute, transmit, or otherwise
13 divulge any material marked **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL**, except in
14 accordance with this Order.

15 6. Use of Confidential Material Limited to this Action: Any document or other material
16 which is marked **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL**, or the contents thereof, may
17 be used by a party, or a party's attorney (inside counsel or outside counsel), expert witness,
18 consultant, or other person to whom disclosure is made, only for the purpose of this action. Nothing
19 contained in this Order shall prevent the use of any document or the contents thereof, at any
20 deposition taken in this action. If a party intends to use material that has been marked as **HIGHLY**
21 **CONFIDENTIAL** at the deposition of an employee or former employee of a non-producing party in
22 this litigation, then the party shall notify the producing party ten (10) days in advance of the
23 deposition that it intends to use that category of material. If the parties cannot agree on parameters
24 for usage of the material at the deposition, then the producing party will seek the direction of the
25 Court as to the utilization of that category of material in the deposition.

26 7. Access to Confidential Material: If a party or attorney wishes to disclose any
27 document or other material which is marked **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL**,
28 or the contents thereof, to any person actively, or retained to, work on this action (e.g., expert

1 witness, consultant), the person making the disclosure shall do the following:

- 2 (a) Provide a copy of this Order to the person to whom disclosure is made;
- 3 (b) Inform the person to whom disclosure is made that s/he is bound by this Order;
- 4 (c) Require the person to whom disclosure is made to sign an acknowledgment and
receipt of this Order;
- 5 (d) Instruct the person to whom disclosure is made to return or, in the alternative and
with permission of the producing party, at the conclusion of the case to destroy any
document or other material which is marked **CONFIDENTIAL** or **HIGHLY**
CONFIDENTIAL, including notes or memoranda made from **CONFIDENTIAL** or
HIGHLY CONFIDENTIAL material;
- 6 (e) Maintain a list of persons to whom disclosure was made and the **CONFIDENTIAL**
or **HIGHLY CONFIDENTIAL** materials which were disclosed to that person;
- 7 (f) At the conclusion of this action, gather the **CONFIDENTIAL** or **HIGHLY**
CONFIDENTIAL materials, copies thereof, and related notes and memoranda, and
return them to the party or attorney who originally disclosed them, or destroy them,
providing a certificate of compliance with the terms of this Protective Order. If
counsel for one party have created work product incorporating, describing or referring
to another party's confidential or highly confidential documents, counsel who have
created the work product must collect all copies from experts and other consultants
and must return or destroy all copies of confidential or highly confidential documents
attached to the work product. However, the counsel that created the work product
may retain the work product itself once all copies are collected and all copies of
confidential or highly confidential documents are returned or destroyed. No work
product incorporating, describing or referring to another party's confidential or highly
confidential documents may be disseminated to any person or used for any purpose
once the litigation is concluded.; and
- 8 (g) With respect to access or use of HIGHLY CONFIDENTIAL documents by in-house
counsel, only "Designated In-House Counsel" of Defendants may have access to

information designated as **HIGHLY CONFIDENTIAL** by another producing party. “Designated In-House Counsel” shall mean: (1) an in-house attorney; and (2) one paralegal and/or clerical employee for each such Designated In-House Counsel to provide administrative support. Each Designated In-House Counsel shall complete and sign a copy of the Undertaking in Exhibit A, and each such completed, signed form shall be transmitted by e-mail to counsel for the other parties before disclosure of any such other party’s **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** information to the Designated In-House Counsel.

8. Disclosure Requirements for **HIGHLY CONFIDENTIAL** information to

Competitor Related Consultants: Prior to disclosure, plaintiff(s) will inform the producing party of its intent to disclose **HIGHLY CONFIDENTIAL** material to anyone who is currently, or who at any time during the pendency of this litigation becomes, a consultant to a competitor (as such individuals are defined in Paragraph III.B.3 above) in the manner set forth below:

- (a) Give at least ten (10) day notice in writing to counsel for the party who designated such information as **HIGHLY CONFIDENTIAL** of the intent to so disclose that information, although the disclosing party is not required to identify the intended recipient of such materials.
- (b) Within ten (10) days thereafter, counsel for the parties shall attempt to resolve any disputes between them regarding the production of the **HIGHLY CONFIDENTIAL** material to the intended individuals, in accordance with Local Rule 251(b).
- (c) If the parties are unable to resolve any dispute regarding such production, within an additional seven (7) days, the party who designated the information in question as **HIGHLY CONFIDENTIAL** shall file a motion objecting to the proposed disclosure by following Local Rule 251(c) procedure for Moving Papers, including the filing of a Joint Stipulation, and noticing the hearing of the motion in accordance with Local Rule 251(f). In making such motion, it shall be the producing party’s burden to demonstrate good cause for preventing the disclosure. (d) If the Court permits disclosure of the material designated as **HIGHLY CONFIDENTIAL** at issue, the

1 information remains designated as **HIGHLY CONFIDENTIAL** and the individual
2 receiving such information shall be bound by the requirements of Paragraph III.B.7.

3 9. **Redaction of CONFIDENTIAL Material:** The parties recognize that certain FDA,
4 other governmental agencies, and certain relevant statutes require redaction of certain information
5 prior to production of certain information by Defendants and that Defendants will comply with those
6 requirements and redact such information as directed. Producing parties will provide a redaction log
7 identifying the reason for redaction. Any party challenging information that has been redacted may
8 do so in accordance with Paragraph III.B.4 of this Protective Order, or otherwise in accordance with
9 the Federal Rules of Civil Procedure and the United States District Court for the Eastern District of
10 California Local Rules and/or Orders of this Court.

11 10. **Use of CONFIDENTIAL Material at Depositions:** All transcripts and exhibits shall
12 be treated as if designated **CONFIDENTIAL** for a period of thirty (30) days after the transcript is
13 available from the court reporter. Counsel for any party may designate during the deposition or
14 during the thirty day period after the transcript is available from the court reporter any portion of the
15 transcript as **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** by denominating by page and line,
16 and by designating any exhibits, that are to be considered **CONFIDENTIAL** or **HIGHLY**
17 **CONFIDENTIAL** pursuant to the criteria set forth in this Order. Such designation shall be
18 communicated to all parties. Transcript portions and exhibits designated in accordance with this
19 paragraph shall be disclosed only in accordance with this Order. A party may challenge the
20 **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** designation or portions thereof in accordance
21 with the provisions of Paragraph III.B.4 above.

22 11. **Inadvertent Failure to Properly Designate CONFIDENTIAL Material:** Inadvertent
23 production of any document or information without a designation of **CONFIDENTIAL** or
24 **HIGHLY CONFIDENTIAL** will not be deemed to waive a party's claim to its **CONFIDENTIAL**
25 nature or stop said party from designating said document or information as **CONFIDENTIAL** or
26 **HIGHLY CONFIDENTIAL** at a later date. Disclosure of said document or information by another
27 party prior to such later designation shall not be deemed a violation of the provisions of this Order.

1 12. Inadvertent Disclosure of Privileged Documents, “Clawback” Procedure: Inadvertent
2 production of documents or electronically stored information (“ESI”) (collectively “Inadvertently
3 Produced Documents”) subject to work-product or attorney-client privilege, or other legal privilege
4 protecting information from discovery, shall not constitute a waiver of the privilege, provided that
5 the producing party shall notify the receiving party in writing as set forth herein. In the event that a
6 party inadvertently produces documents or ESI subject to a claim of privilege, the producing party
7 shall, within ten (10) days of the discovery of the inadvertent disclosure, notify the other party in
8 writing of the inadvertent disclosure. The producing party may, in the notice, request a “clawback”
9 of the inadvertently disclosed material. The party receiving such clawback notice shall immediately
10 and diligently act to retrieve the Inadvertently Produced Documents, and all copies, including any
11 loaded to databases, and return them to the producing party or destroy them as agreed between the
12 parties. All notes or other work product of the receiving party reflecting the contents of such
13 materials shall be destroyed and not used.

14 If the receiving party elects to file a motion as set forth below, the receiving party, subject to
15 the requirements below, may retain possession of the Inadvertently Produced Documents as well as
16 any notes or other work product of the receiving party reflecting the contents of such materials
17 pending the resolution by the Court of the motion below, but shall segregate and not use them
18 pending resolution of the motion. If the receiving party's motion is denied, the receiving party shall
19 promptly comply with the immediately preceding provisions of this paragraph. No use shall be made
20 of such Inadvertently Produced Documents during depositions or at trial, nor shall they be disclosed
21 to anyone who was not given access to them prior to the request to return or destroy them unless
22 otherwise ordered by the Court.

23 The party receiving such Inadvertently Produced Documents may, after receipt of the
24 producing party's notice of inadvertent production, move the Court to dispute the claim of privilege.

25 13. There is no waiver of privilege or work product protection in this matter or any other
26 matter in any other jurisdiction for any document clawed-back under this clause, or for the subject
27 matter of any such document, whether the privileged document was inadvertently provided
28 following review or as part of a “Quick Peek” production. In the event that any party receives

1 information produced in discovery from any other party that reasonably appears to be Inadvertently
2 Produced Documents, the receiving party shall promptly notify the producing party in writing of the
3 apparent inadvertent production.

4 14. Disclosure/Production of Documents, No Waiver: A party's disclosure or production
5 of any document or group or category of documents (the "produced documents") shall not constitute
6 a waiver of any privilege or protection, including but not limited to privilege or work product
7 protection with respect to: (a) any other communications or documents relating or referring to the
8 same or similar subject matter ("subject matter waiver"); and (b) any other communications or
9 documents referring or relating to the parties who sent or received or are named in any such
10 document or documents. A party thus may not challenge another party's claims of privilege for
11 documents remaining on its privilege logs on grounds of waiver of any privilege or protection.

12 C. **POST DISCOVERY PHASE**

13 1. If any party or attorney wishes to file, or use as an exhibit or as testimonial evidence
14 at a hearing or trial, any **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** material, such party
15 must provide reasonable notice to the producing party of the intended use of such information. The
16 parties shall then attempt to resolve the matter of continued confidentiality by either (a) removing
17 the **CONFIDENTIAL** or **HIGHLY CONFIDENTIAL** marking, (b) creating a mutually acceptable
18 redacted version that suffices for purposes of the case, or (c) conferring about methods to avoid or
19 limit public disclosure of such information during testimony. If an amicable resolution proves
20 unsuccessful, the proponent of continued confidentiality may present the issue to the Court for
21 resolution. The proponent of continued confidentiality will have the burden of persuasion that the
22 document or material should be withheld from the public record.

23 2. Survival of Protective Order: Throughout and after the conclusion of this litigation,
24 including any appeals, the restrictions on communication and disclosure provided for herein shall
25 continue to be binding upon the parties and all other persons to whom **CONFIDENTIAL** and
26 **HIGHLY CONFIDENTIAL** material has been communicated or disclosed pursuant to the
27 provisions of this Order or any other order of the Court.

3. Return or Destruction of **CONFIDENTIAL** Material Upon Termination of Litigation: Within sixty (60) days after the final termination of this action, each party, upon request of the other party, shall either return to the producing party, or destroy, all **CONFIDENTIAL** and **HIGHLY CONFIDENTIAL** material designated by any other party (including any such material disclosed to third persons), except for any attorneys' work-product for the party returning the material, and shall provide confirmation in writing to opposing counsel if such materials are destroyed.

4. **Modification of this Order:** Nothing in this Order shall prevent any other party from seeking amendments broadening or restricting the rights of access to or the use of **CONFIDENTIAL** and/or **HIGHLY CONFIDENTIAL** material or otherwise modifying this Order; and this Order may be amended without leave of the Court by the agreement of the undersigned attorneys for the parties in the form of a Stipulation that shall be filed in this case.

ORDER

Based upon the stipulation of the parties, the Court **ORDERS**:

1. The stipulated protective order is GRANTED. The parties are advised that nothing in this order entitles any party to file any documents—even those subject to this order—under seal. Instead, the party seeking to file a document under seal must comply with Local Rule 141 and demonstrate legal cause for removing the document from the public docket.

IT IS SO ORDERED.

Dated: **December 11, 2020**

/s/ Jennifer L. Thurston
UNITED STATES MAGISTRATE JUDGE

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____ [print or type full address], declare under penalty of perjury that I have read in its entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Eastern District of California on _____ in *Catherine L. Coontz v. Astora Women's Health, LLC, et al*, Case No. 1:20-CV-01379-NONE-JLT. I agree to comply with and to be bound by all the terms of this Stipulated Confidentially and Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Confidentiality and Protective Order to any person or entity except in strict compliance with the provisions of this Order. I further agree to submit to the jurisdiction of the United States District Court for the Eastern District of California for enforcing the terms of this Stipulated Confidentiality and Protective Order, even if such enforcement proceedings occur after termination of this action. I hereby appoint _____ [print or type full name] of _____

[print or type full address and telephone number] as my California agent for service of process in connection with this action or any proceedings related to enforcement of this Stipulated Confidentiality and Protective Order.

Date: _____

City and State where sworn and signed: _____

Printed name: _____

Signature: _____